



## EBS ASSET MANAGEMENT PRIVACY DISCLOSURE DOCUMENT

Maintaining the confidentiality of your personal financial information is very important to you and to Eubel Brady & Suttman Asset Management, Inc. (EBS).

**INFORMATION WE COLLECT.** To conduct regular business, we may collect several types of nonpublic personal information about you, including:

- Information from forms you provide to us in connection with your accounts (such as your name, address and social security number);
- Information about transactions in your accounts that EBS manages or monitors on your behalf (such as purchases and sales initiated by EBS or you as well as account balances).

**INFORMATION WE SHARE.** EBS shares information solely to service our client accounts and we do not disclose any information about our customers or former customers to anyone, except to service providers or as required by law. For example, so that we may execute purchases and sales on your behalf, we disclose information to your custodian or executing broker. So that we can vote proxies on your behalf we may hire a service to aggregate all shares eligible for EBS to vote. Those service providers use the information we share only to perform the services for which we engage them, and are not permitted to use or disclose the information for any other purpose.

**INFORMATION SAFEGUARDING.** EBS internally safeguards information about you by restricting access to only those employees who provide products or services to you or those who need access to your information to service your account. In addition, we maintain physical, electronic and procedural safeguards that meet federal and/or state standards to guard your nonpublic personal information. Your information remains confidential should you become an inactive client or should our relationship end.

**CHANGES TO OUR PRIVACY POLICY.** We reserve the right to change our privacy policy in the future, but we will not disclose your nonpublic personal information to persons other than service providers (except as may be required by law) without giving you an opportunity to instruct us not to.

**QUESTIONS?** For questions about our privacy policy, or for additional copies of this notice, please call us at (937) 291-1223.